

# **Concerns & Complaints Policy**

2024-2025

**Approved by:** Catriona Olsen (King's InterHigh)

Alessandro Capozzi (Academy21)

**Date:** September 2024

**Review date:** September 2025



## **Policy Aims and School Vision**

#### The **Inspired** Philosophy

As part of the Inspired Group, we are also guided by the philosophy of the Inspired group:

- A child's education is the single most important consideration for any parent or carer.
- At Inspired, we believe that it is an individual's total educational experience that instils the knowledge, attitudes, beliefs, and values that they will carry with them for life.
- We believe that this set of knowledge, attitudes, beliefs, and values, instilled by education, will form the basis of how we approach the future.

Inspired schools believe that these are the foundations on which we build and plan our lives. At King's InterHigh (KIH) and Academy21 (A21), we welcome students with varied plans, including attending university, college, obtaining an apprenticeship, or going into the workplace. Being part of Inspired allows us to cater for our rich and varied student community through an inclusive and positive approach that maintains high expectations of all our students with their own aspirations.

## Our vision and aims

Both KIH and A21 welcome students with a variety of prior education experiences and with varied aims. This is fundamental to what we do - our vision is to be a flexible home for all students and so we seek to offer a curriculum and experience that allows students to pursue learning interests, fits around other priorities and gives them the structure needed to work towards their goals. We seek to create the conditions for this – a purposeful learning environment, the ability to focus and challenge oneself and a sense of safety and value for every child.

We have core aims that underpin this vision. They are to:

- provide a high quality, positive and inclusive learning experience and environment that inspires all students to discover, develop and fulfil their potential and make outstanding progress in their learning whatever their starting point.
- 2. promote desirable behaviour and a culture of mutual respect and maximum engagement in learning through our actions and wider culture.
- recognise the successes and development of each student, be it social, emotional, academic, or otherwise, that develops self-esteem, and respect for self and others.

There are some broader themes to our vision that are worth detailing:

**Participation:** We believe educational success comes from students actively participating in learning through attending live classes or using lessons recordings and resources actively; submitting set work; engaging with their peers or just following feedback to improve. As a school we set conditions for our staff and students to be reflective on their efforts and act with integrity, building a culture of improvement and contribution to community that ultimately makes everyone's impact on the world a positive one.

**Holistic development:** In a rapidly evolving digital world, we take seriously the holistic development of our students and aim to equip students with the tools and attitudes to navigate technology and global relationships positively. We have robust actions in place to keep school free from more negative instances that might occur in other settings such as bullying or disruption.

**Inclusivity and flexibility:** We are inclusive, and we want every child, regardless of additional needs, to be the best version of themselves through the support of our flexible schooling and adaptive teaching.



## **Policy Statement**

KIH and A21 are committed to providing a high-quality service for our families, partners, and clients. To continually improve the quality of our school, we aim to listen and respond to feedback provided by the members of our school community. This policy does not pertain to complaints brought by staff members and grievance procedures should be followed in the event of staff complaints.

We acknowledge that concerns and complaints will be raised predominantly by parents and carers. However, there may be instances where other school stakeholders may wish to raise a concern or make a complaint in line with this policy. There are some instances, where parents and/or carers are mentioned, which also includes school partners or clients.

This policy will seek to ensure that feedback and complaints are dealt with fairly and comprehensively. We will aim to:

- Handle complaints and feedback promptly, politely, respectfully, and confidentially
- Address all the points at issue and provide an effective and prompt response
- Respect complainants' desire for confidentiality
- Keep complainants informed of the progress of the complaints process
- Respond to complaints appropriately, with explanations and/or apologies where appropriate
- Learn from feedback and complaints and use them to improve the overall quality of the service provided by our school

An informal approach is appropriate when it can be achieved. If, however, concerns cannot be satisfactorily resolved informally, the formal complaints procedure should be followed. All informal and formal complaints will be logged on the relevant internal Complaints Log.

In the first instance, for King's InterHigh, parents and carers can bring their concerns, with regards to classroom events, and teaching and learning, to the class teacher. This can be escalated to the Head of Subject, and then to Senior Leaders within the Education Team for informal review. Concerns regarding other areas of the school, out with the Education Team, should be brought to the attention of their Family Liaison.

For A21 any concerns should be raised in the first instance with either the Head of A21 or the customer support team.

Safeguarding concerns should be brought to the attention of the Designated Safeguarding Leads or Deputies. Please also see our Safeguarding Policy for more information on safeguarding and child protection.

To support the complaints process, we will ensure we publicise the existence of this policy and make it available on the KIH and A21 websites.

Throughout the process, we will be sensitive to the needs of all parties involved, and make any reasonable adjustments needed to accommodate individuals.

This policy does not cover complaints regarding staff grievances and the staff code of conduct.

#### **Guidance and Best Practice**

This document meets the requirements set out in part 7 of the schedule to the Online Education Accreditation Standards (from the Department for Education), which states that schools should have and make available a written procedure to deal with complaints from parents/carers and/or mentors of students at the King's InterHigh and A21.



### **Definitions**

The DfE guidance explains the difference between a concern and a complaint:

A concern is defined as "an expression of worry or doubt over an issue considered to be important for which reassurances are sought". Most issues raised are concerns rather than complaints. The Executive Leadership and the Senior Education Team are committed to resolving such concerns swiftly and effectively without recourse to the formal procedures outlined below.

A complaint is defined as "an expression of dissatisfaction however made, about actions taken or a lack of action"

## Roles and Responsibilities

Please see the roles and responsibilities of each party, potentially involved in a complaint, at the end of this document.

## **Principles for Investigating a Complaint**

When the school is investigating a complaint, we will aim to clarify:

- What has happened
- Who was involved
- What the complainant feels would put things right

### **Time Scales**

The complaint must be raised by the complainant within three months of the incident. If the complaint pertains to a series of related incidents, the complainant must raise their complaint within three months of the last incident.

The school may consider exceptions to this timeframe in circumstances where there are valid reasons for not raising the complaint at the time, and the complaint can still be investigated in a fair manner for all involved. For complaints directly involved with Education, where complaints are made outside of term time, we will consider them to have been received on the next school day.

If the school are unable to meet the time scales set out in this policy, we will:

- Set new time limits with the complainant
- Send the complainant details of the new target date and explain the delay

## **Stages of the Complaint Process**

#### Stage 1: Informal concern

KIH and A21 take concerns and complaints seriously. We will make every effort to resolve the matter quickly. It may be that providing clarification on a particular issue or providing additional information will resolve the issue at hand.

The complainant should raise the concern as soon as possible with our school team, liaising with this policy for guidance. As mentioned above, in the first instance, parents and carers of King's InterHigh students can bring their concerns, with regards to classroom events, and teaching and learning, to the class teacher. This can be escalated to the Head of Subject, and then to Senior Leaders within the Education Team for informal review. Parents should raise any other concerns with their Family Liaison in the first instance.

For A21 any concerns should be raised in the first instance with either the Head of A21 or the customer support team.



The school will acknowledge the informal concern within two working days. The school will usually provide an informed response within ten working days. Individuals with the appropriate level of authority, in the relevant area of the school, will carry out this process.

Most informal concerns will be satisfactorily resolved at this stage. If the complainant is not satisfied with the outcome of Stage 1, there will be an opportunity, within the process, to consider a move to Stage 2.

For all complaints (Stage 2 and 3), complainants will be required to complete a complaints form, which will be sent to the relevant senior leader, with the Executive Headteacher also sent a copy of the complaint.

### Stage 2: Formal Complaint

A formal complaint can be raised by the complainant via our complaints form, which can be requested from the school. The complainant will usually be offered the complaints form if they express the desire to move to Stage 2 of this process. If the complainant does not have electronic access to our complaints form, they should write directly to the school providing details such as dates, times, the names of relevant parties, refer to any relevant documentation, and express what they feel would resolve the complaint. Written complaints will be logged in the same way as electronic complaints.

If the complainant requires assistance in raising a complaint, they should contact the school.

The school will acknowledge the formal complaint within two working days. The school will usually provide an informed response within fifteen working days.

A manager or senior leader, in the relevant area of the school, will carry out this process. An investigation will be arranged and the final determination on the complaint will be made by the designated manager or senior leader. In many cases, the written response will set out the actions that have been taken in relation to the issues identified.

Members of the investigating team may request to speak with the complainant via telephone or video conference for more information. The cooperation of the complainant is requested and appreciated to ensure the timely resolution of the complaint.

The aim is to resolve the complaint as quickly, and as satisfactorily, as possible. If the complainant is dissatisfied with the outcome of Stage 2, the complainant will be required to inform the school, within five working days, by contacting the Executive Headteacher. The request to move to Stage 3 (Appeals Panel) will be sent to the relevant teams. The Executive Headteacher will confirm, in writing, the decision on whether to convene the Appeals Panel within ten working days of receiving notification to move to Stage 3.

The school's complaints log will be updated to reflect this.

#### Stage 3: Appeals Panel

The Appeals Panel will convene to consider any complaints that have reached Stage 3 of this process. The panel will be led by the three senior leaders who have not previously been directly involved in the handling of the complaint.

The panel aims to impartially resolve the complaint and to achieve reconciliation between KIH and A21 and the complainant.

The panel will usually hear the Stage 3 complaint within fifteen working days of its receipt.

The complainant may be accompanied to this meeting and should inform the identity of their companion in advance.

The panel should record their findings and recommendations, share these with the complainant, and store them such that they can be available for inspection at a later date.



#### The panel can:

- dismiss the complaint in whole or in part
- uphold the complaint in whole or in part
- decide on the appropriate action to be taken to resolve the complaint
- recommend changes to the school's systems or procedures

All parties should be notified of the panel's decision, in writing, within three working days of the panel hearing.

The school's complaints log will be updated to reflect this.

The Appeals Panel hearing is the last stage in the complaints process.

## **Unreasonably Persistent Complaints**

KIH and A21 respects that most complaints raised will be valid, and therefore we will treat them seriously. However, a complaint may become unreasonable if the person:

- has made the same complaint before, and it has already been resolved by following the school's complaints procedure
- makes a complaint that is obsessive, persistent, harassing, prolific, defamatory, or repetitive
- knowingly provides false information
- insists on pursuing an unfounded complaint, or out of the scope of the complaint's procedure
- pursues a valid complaint, but in an unreasonable manner e.g., refuses to articulate the complaint, refuses to cooperate with this complaint's procedure, or insists that the complaint is dealt with in ways that are incompatible with this procedure and the time frames it sets out
- changes the basis of the complaint as the investigation goes on and makes a complaint designed to cause disruption, annoyance, or excessive demands on school time
- seeks unrealistic outcomes or a solution that lacks any serious purpose or value

In the event of the above occurring, the school will take reasonable steps to address the complainant's concerns and will provide a clear statement of the school's position, and the options available to the complainant.

If the complainant continues to contact KIH and A21 in a disruptive way, we may put the following strategies in place:

- give the complainant a single point of contact via an email address
- limit the number of times the complainant can make contact, such as a fixed number per term
- ask the complainant to engage a third party to act on their behalf, such as Citizens Advice
- put any other strategy in place as necessary

We may stop responding to a complainant when all of these factors are met:

- we believe we have taken all reasonable steps to help address their concerns
- we have provided a clear statement of our position and their options
- the complainant contacts us repeatedly, and we believe they intend to cause disruption or inconvenience

Where we stop responding, we will inform the individual that we intend to do so. We will also explain that we will still consider any new complaints they make. In response to any serious incident of intimidation, aggression, or violence, we will immediately inform the police and communicate our actions in writing. This may include barring communications with KIH and A21 personnel.



## **Duplicate Complaints**

If we have resolved a complaint under this procedure and receive a duplicate complaint on the same subject from a partner, family member or other individual, we will assess whether there are aspects that we had not previously considered, or any new information we need to consider.

If we are satisfied that there are no new aspects, we will inform the new complainant that we have already investigated and responded to this issue, and that the local process is complete. If there are new aspects, we will follow this procedure again.

## **Complaint Campaigns**

Where the school receives a large number of complaints about the same topic or subject, especially if these come from complainants unconnected to the school, we may respond to these complaints by:

- Publishing a single response on our website
- Sending a template response to all of the complainants

If the complainants are not satisfied with the response from KIH and A21 or wish to pursue their complaint further, the normal procedures will apply.

## **Record Keeping**

KIH and A21 maintain a record of the progress of all concerns and complaints, including information about actions taken at all stages, the stage at which the complaint was resolved, and the final outcome. Records will also include copies of letters, emails, and notes relating to meetings and phone calls. Records will be treated as confidential and will only be viewed by those involved in investigating the complaint or on the Appeals Panel. The data must also be made available in the event of a school inspection. The exception to this is when the Secretary of State or a body conducting a quality assurance visit under the online education accreditation scheme requests access to them.

Under the General Data Protection Regulations (EU) 2016/679 (GDPR), data must not be kept longer than is necessary.

Complainants may have a right to copies of these records under the Freedom of Information Act 2000, the Data Protection Act 2018 and GDPR.

## **Parental Responsibility**

Conflict between estranged parents over the application of parental responsibility is a common cause of complaints made to schools.

Understanding and dealing with issues relating to parental responsibility contains specific advice about how to approach issues concerning parental responsibility.

## **Learning from Concerns and Complaints**

The school's Senior Leadership Team will review any underlying issues raised through concerns and complaints to determine whether there are any improvements that the school can make to its procedures or practice to help prevent similar events in the future.

## **Policy Monitoring**

The school's Senior Leadership Team will monitor the effectiveness of this policy to ensure concerns and complaints are handled properly. From the records held, the school will be able to determine the number and nature of the concerns and complaints raised. This policy will be reviewed every two years, or earlier if required.



# **Appendix 1 Roles and Responsibilities**

### Complainant

The complainant will receive a more effective response to the complaint if they:

- explain the complaint in full as early as possible
- cooperate with the school in seeking a solution to the complaint
- respond promptly to requests for information or meetings or agree to the details of the complaint
- ask for assistance as needed
- treat all those involved in the complaint with respect
- refrain from publicising the details of their complaint on social media and respect confidentiality (please also see our Social Media policy)

#### Investigator

The investigator's role is to establish the facts relevant to the complaint by:

- providing a comprehensive, open, transparent, and fair consideration of the complaint through:
- sensitive and thorough interviewing of the complainant to establish what has happened and who has been
  involved
- interviewing staff and children/young people and other people relevant to the complaint
- consideration of records and other relevant information
- analysing information
- liaising with the complainant, as appropriate, to clarify what the complainant feels would put things right

#### The investigator should:

- conduct interviews with an open mind and be prepared to persist in the questioning
- keep notes of interviews or arrange for an independent note taker to record minutes of the meeting
- ensure that any papers produced during the investigation are kept securely pending any appeal
- be mindful of the timescales to respond
- prepare a comprehensive report for the relevant manager or senior leaders, or Appeals Panel that sets out the facts, identifies solutions and recommends courses of action to resolve problems

#### Appeals Panel Chairperson

The panel's chair, who is nominated in advance of the complaint meeting, should ensure that:

- both parties are asked to provide any additional information relating to the complaint by a specified date in advance of the meeting
- the meeting is conducted in an informal manner, is not adversarial, and that, if all parties are invited to attend, everyone is treated with respect and courtesy
- complainants who may not be used to speaking at such a meeting are put at ease. This is particularly important if the complainant is a child/young person
- the remit of the Appeals Panel is explained to the complainant
- written material is seen by everyone in attendance, provided it does not breach confidentiality or any individual's rights to privacy under the DPA 2018 or GDPR.



If a new issue arises it would be useful to allow everyone to consider and comment upon it; this may require a short adjournment of the meeting

- both the complainant and the school are allowed to make their case and seek clarity, either through written submissions ahead of the meeting or verbally in the meeting itself
- the issues are addressed
- key findings of fact are made
- the panel is open-minded and acts independently
- no member of the panel has an external interest in the outcome of the proceedings or any involvement in an earlier stage of the procedure
- minutes of the meeting are taken

### **Appeals Panel Member**

Appeals Panel members should be aware that:

- the meeting must be independent and impartial and should be seen to be so
- the aim of the meeting should be to resolve the complaint and achieve reconciliation between the school
  and the complainant. We recognise that the complainant might not be satisfied with the outcome if the
  meeting does not find in their favour. It may only be possible to establish the facts and make
  recommendations.
- many complainants will feel nervous and inhibited in a formal setting
- parents/carers often feel emotional when discussing an issue that affects their child.
- extra care needs to be taken when the complainant is a child/young person and present during all or part of the meeting:
  - careful consideration of the atmosphere and proceedings should ensure that the child/young person does not feel intimidated.
  - the Appeals Panel should respect the views of the child/young person and give them equal consideration to those of adults.
  - o if the child/young person is the complainant, the panel should ask in advance if any support is needed to help them present their complaint. Where the child/young person's parent is the complainant, the panel should allow the parent to say which parts of the meeting, if any, the child/young person needs to attend.
  - the parent should be advised that agreement might not always be possible if the parent wishes the child/young person to attend a part of the meeting that the panel considers is not in the child/young person's best interests.
- the welfare of the child/young person is paramount.

